

## PATENT APPLICATION TRANSMITTAL LETTER

TO THE ASSISTANT COMMISSIONER OF PATENTS:

Transmitted herewith for filing is the patent application identified as follows:

Inventors: KAWAN, Joseph C., TAKATA, Melvin and HANOVER, Coleman

Title: System and Method for Automated Electronic Scrip Transactions

Enclosed are:

28 Sheets of Specification, including 8 sheets of claims and an Abstract  
 Seven (7) Sheets of Drawings (Figures 1-7)  
 Declaration and Power of Attorney for Patent Application (Unexecuted)  
 Transmittal of Application Under 37 CFR 1.41(c)

The filing fee has been calculated as follows:

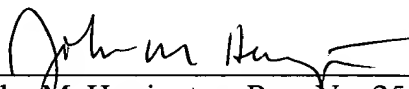
CLAIMS AS FILED			
	Number Filed/Paid For	Number Extra	Rate
BASIC FEE	-----		\$760
TOTAL CLAIMS	66-20	46	\$18
INDEPENDENT CLAIMS	2-3	0	\$78
MULTIPLE DEPENDENT CLAIM PRESENT - NO			\$260
FEE TOTAL			\$1,588

Enclosed is a check in the amount of \$1,588 to cover the filing fees. The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 11-0855. A duplicate of this sheet is attached for that purpose.

Please address all correspondence and telephone calls in connection with this application to:

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Dated: 5/17/99

  
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1c511 U.S. PTO  
 09/313297  
 05/17/99

EXPRESS MAIL CERTIFICATE

Express Mail" mailing label number: EM 508 445 582 US

Date of Deposit : May 17, 1999

Type of Document(s) : 28 Sheets of Specification,  
including 8 sheets of claims and  
an Abstract;  
Seven (7) Sheets of Drawings;  
Declaration and Power of Attorney  
for Patent Application  
(Unexecuted);  
Patent Application Transmittal  
Letter (duplicate);  
Transmittal of Application Under 37  
CFR 1.41(c);  
Check in the amount of \$1,588.00;  
and  
Return Postcard

Serial No. : Unassigned

Filing Date : Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

 (signature)  
Debra C. Jones

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : KAWAN, Joseph C. et al.  
Serial No. : To be Assigned  
Filing Date : Transmitted Herewith  
For : System and Method for Automated Electronic Scrip Transactions

Assistant Commissioner for Patents  
Box: PATENT APPLICATION  
Washington, D.C. 20231

**TRANSMITTAL OF APPLICATION UNDER 37 CFR 1.41(c)**

Sir:

The undersigned attorney hereby makes application for U.S. Letters Patent on behalf of Applicants Joseph C. Kawan, Melvin Takata and Coleman Hanover, whose addresses and citizenships are as follows:

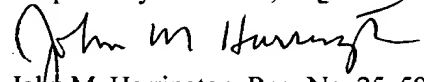
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TAKATA, Melvin	855 Paseo Del Robledo Thousand Oaks, CA 91360	USA
HANOVER, Coleman	1874 Main Road Westpoint, MA 02791	USA

Applicant claims the benefit, under 35 USC § 119, of U.S. Provisional Application Serial Number 60/086,603 filed May 22, 1998.

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Respectfully submitted,

  
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Date:

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